

Syntax Analysis

Prof. James L. Frankel
Harvard University

Version of 6:07 PM 19-Sep-2023
Copyright © 2023, 2022, 2018, 2015 James L. Frankel. All rights reserved.

Context-Free Grammar (CFG)

- terminals basic symbols from which strings are formed;
 also called *tokens*
- non-terminals syntactic variables that denote sets of strings
- start symbol strings denoted by the start symbol is the language
 generated by the grammar
- productions <head> → <body>
 or *or* *or*
 <left side> ::= <right side>

Context-Free Grammar for Simple Expressions

- $E \rightarrow E + T \mid E - T \mid T$
 $T \rightarrow T * F \mid T / F \mid F$
 $F \rightarrow (E) \mid \mathbf{id}$
- E, T, and F are *non-terminals*
- E is the *start symbol*
- +, −, *, /, (,), and **id** are *terminals*
- E is an abbreviation for Expression (sometimes referred to as Expr)
- T is an abbreviation for Term
- F is an abbreviation for Factor

CFG Notational Conventions (1 of 3)

- Terminals
 - Lowercase letters early in alphabet (a, b, c, ...)
 - Operators
 - Punctuation
 - Digits
 - Boldface string denoting terminal symbols (such as **id** and **if**)
- Non-terminals
 - Uppercase letters early in alphabet (A, B, C, ...)
 - S is usually the start symbol
 - Lowercase italic names (*expr*, *stmt*, ...)
 - E, T, F

CFG Notational Conventions (2 of 3)

- Grammar symbols (either non-terminals or terminals) Uppercase letters late in the alphabet (X, Y, Z)
- Possibly empty strings of terminals Lowercase letters late in the alphabet (u, v, ..., z)
- Possibly empty strings of grammar symbols Lowercase Greek letters (α , β , γ , ...)

CFG Notational Conventions (3 of 3)

- Set of productions with a common head $A \rightarrow \alpha_1, A \rightarrow \alpha_2, \dots, A \rightarrow \alpha_k$ are called A-productions and may be rewritten as $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_k$
- Unless otherwise specified, the head of the first production is the start symbol

CFG Derivation

- *Derivation* is the process of using productions as rewriting rules

- If $A \rightarrow \gamma$ is a production, then

$$\alpha A \beta \Rightarrow \alpha \gamma \beta$$

where \Rightarrow means “derives in one step”

- \Rightarrow^* means “derives in zero or more steps”
- \Rightarrow^+ means “derives in one or more steps”

Leftmost and Rightmost Derivations

- In *leftmost derivations*, the leftmost non-terminal in each sentential is always chosen.

- This is denoted by

$$\alpha \Rightarrow_{lm} \beta$$

- In *rightmost derivations*, the rightmost non-terminal in each sentential is always chosen.

- These are also called *canonical derivations*

- This is denoted by

$$\alpha \Rightarrow_{rm} \beta$$

Leftmost & Rightmost Derivation of $-(\mathbf{id+id})$

- Given the grammar: $E \rightarrow E + E \mid E * E \mid - E \mid (E) \mid \mathbf{id}$,
derive $-(\mathbf{id+id})$
- $E \Rightarrow_{lm} -E \Rightarrow_{lm} -(E) \Rightarrow_{lm} -(E + E) \Rightarrow_{lm} -(\mathbf{id} + E) \Rightarrow_{lm} -(\mathbf{id} + \mathbf{id})$
- $E \Rightarrow_{rm} -E \Rightarrow_{rm} -(E) \Rightarrow_{rm} -(E + E) \Rightarrow_{rm} -(E + \mathbf{id}) \Rightarrow_{rm} -(\mathbf{id} + \mathbf{id})$

Ambiguity in Leftmost Derivation of $\text{id}+\text{id}*\text{id}$

- Given the grammar: $E \rightarrow E + E \mid E * E \mid - E \mid (E) \mid \text{id}$,
derive $\text{id}+\text{id}*\text{id}$
- Two distinct leftmost derivations exist:
 - $E \Rightarrow_{\text{lm}} E + E \Rightarrow_{\text{lm}} \text{id} + E \Rightarrow_{\text{lm}} \text{id} + E * E \Rightarrow_{\text{lm}} \text{id} + \text{id} * E \Rightarrow_{\text{lm}} \text{id} + \text{id} * \text{id}$
 - $E \Rightarrow_{\text{lm}} E * E \Rightarrow_{\text{lm}} E + E * E \Rightarrow_{\text{lm}} \text{id} + E * E \Rightarrow_{\text{lm}} \text{id} + \text{id} * E \Rightarrow_{\text{lm}} \text{id} + \text{id} * \text{id}$
- Therefore, this grammar is ambiguous

Translation of a regex into a CFG

- The regex:
ba*bba

and the context-free grammar:

$$A_0 \rightarrow bA_1$$

$$A_1 \rightarrow aA_1 \mid A_2$$

$$A_2 \rightarrow bba$$

derive the same language

Balanced parentheses

- The context-free grammar:

$$A \rightarrow (A) A \mid \varepsilon$$

derives any number of balanced parentheses

- This cannot be derived using a regex
- Colloquially, we say that “a finite automata cannot count”

Ambiguous **else** matching

- stmt \rightarrow **if** expr **then** stmt |
if expr **then** stmt **else** stmt |
other
- The above grammar is ambiguous
- **if** E_1 **then** S_1 **else if** E_2 **then** S_2 **else** S_3
- The above sentence's derivation is *un*ambiguous
- **if** E_1 **then if** E_2 **then** S_1 **else** S_2
- The above sentence's derivation is ambiguous
- With which **then** does the **else** match?

Rewritten if-then-else grammar

- stmt → matched_stmt | open_stmt
- matched_stmt → **if** expr **then** matched_stmt **else** matched_stmt | other
- open_stmt → **if** expr **then** stmt | **if** expr **then** matched_stmt **else** open_stmt
- Idea: stmt between **then** and **else** cannot end with an unmatched (or open) **then**
- The above grammar is unambiguous

Elimination of Left Recursion

- $A \rightarrow A\alpha \mid \beta$

can be rewritten as

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \varepsilon$$

Left Factoring

- $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2$

can be rewritten as

$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

- This refactoring defers the decision so it can be made when the input symbol is available
 - Useful in predictive, or top-down, or recursive descent parsers

Top-Down Parsing

- Starting with the left-recursive grammar:

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \mathbf{id} \end{aligned}$$

- After applying the elimination of left recursion transformation we have:

$$\begin{aligned} E &\rightarrow T E' \\ E' &\rightarrow + T E' \mid \varepsilon \\ T &\rightarrow F T' \\ T' &\rightarrow * F T' \mid \varepsilon \\ F &\rightarrow (E) \mid \mathbf{id} \end{aligned}$$

- This is suitable for a top-down parser or for producing a leftmost derivation

Example of Recursive-Descent Parser

- Go over recursiveDescentParser.c
- Show the parse trees of

$1 - 2 * 3$

and

$1 - 2 + 3$

using the grammars from before and after applying the “elimination of left recursion” transformation